

	Application No.	Applicant(s)	
	09/851,856	MAYS, ROBERT	
Notice of Allowability	Examiner	Art Unit	
	Nathan Curs	2633	
The MAILING DATE of this communication appeal claims being allowable, PROSECUTION ON THE MERITS IS therewith (or previously mailed), a Notice of Allowance (PTOL-85) NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIP of the Office or upon petition by the applicant. See 37 CFR 1.313	(OR REMAINS) CLOSED in this app or other appropriate communication GHTS. This application is subject to	olication. If not includ will be mailed in due	ed course. THIS
1. $igtimes$ This communication is responsive to <u>RCE of 29 Septembe</u>	<u>r 2005</u> .		
2. \boxtimes The allowed claim(s) is/are <u>1-20</u> .			
3. ☐ Acknowledgment is made of a claim for foreign priority un a) ☐ All b) ☐ Some* c) ☐ None of the: 1. ☐ Certified copies of the priority documents have 2. ☐ Certified copies of the priority documents have 3. ☐ Copies of the certified copies of the priority documents have	been received. been received in Application No		tion from the
International Bureau (PCT Rule 17.2(a)). * Certified copies not received:	•		•
Applicant has THREE MONTHS FROM THE "MAILING DATE" of this communication to file a reply complying with the requirements noted below. Failure to timely comply will result in ABANDONMENT of this application. THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.			
 A SUBSTITUTE OATH OR DECLARATION must be subm INFORMAL PATENT APPLICATION (PTO-152) which give 			OTICE OF
 5. CORRECTED DRAWINGS (as "replacement sheets") must be submitted. (a) including changes required by the Notice of Draftsperson's Patent Drawing Review (PTO-948) attached 1) hereto or 2) to Paper No./Mail Date (b) including changes required by the attached Examiner's Amendment / Comment or in the Office action of Paper No./Mail Date Identifying indicia such as the application number (see 37 CFR 1.84(c)) should be written on the drawings in the front (not the back) of each sheet. Replacement sheet(s) should be labeled as such in the header according to 37 CFR 1.121(d). 6. DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGICAL MATERIAL must be submitted. Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL. 			
Attachment(s) 1. ☐ Notice of References Cited (PTO-892) 2. ☐ Notice of Draftperson's Patent Drawing Review (PTO-948) 3. ☑ Information Disclosure Statements (PTO-1449 or PTO/SB/0 Paper No./Mail Date 9/05 4. ☐ Examiner's Comment Regarding Requirement for Deposit of Biological Material	5. Notice of Informal P 6. Interview Summary Paper No./Mail Dat 7. Examiner's Amendr 8. Examiner's Stateme 9. Other	(PTO-413), e nent/Comment	
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EXAMINER'S AMENDMENT

1. An examiner's amendment to the record appears below. Should the changes and/or additions be unacceptable to applicant, an amendment may be filed as provided by 37 CFR 1.312. To ensure consideration of such an amendment, it MUST be submitted no later than the payment of the issue fee.

Authorization for this examiner's amendment was given in a telephone interview with Ken Brooks on 7 September 2004.

The following corrections should be made to the applicant's claims entered 17 June 2004:

- 1. (Currently Amended) A communication system comprising: a source of energy to propagate a signal along a communication path; a detector positioned in the communication path; and a filtering system disposed in the optical path; the filtering system having first and second holographic optical elements each of which has a transform function associated therewith to encode the signal, defining an encoded signal, and decode the encoded signal to retrieve the signal for detection by the detector, with the transform function associated with said first holographic optical element matching the transform function associated with said second holographic element.
- 2. (Currently Amended) The system as recited in claim 1 wherein the filtering system one of said first and second holographic optical elements removes unwanted characteristics from the signal with the unwanted characteristics being selected from a group consisting essentially of amplitude, polarization, wavelength and phase.

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3. (Currently Amended) The system as recited in claim 1 wherein <u>each of</u> the first and second <u>filtering system is holographic optical elements are</u> a transmissive element, allowing the signal to propagate between opposing surfaces thereof.

- 4. (Currently Amended) The system as recited in claim 1 wherein <u>each of</u> the <u>filtering</u> system is <u>first and second holographic optical elements are</u> a reflective element, allowing the signal to enter and exit the element through a common surface.
 - 5. (Original) The system as recited in claim 1 wherein the signal is an optical signal.
- 6. (Original) The system as recited in claim 1 wherein the signal is an RF signal having a wavelength in the range of in the range of 1 micron to 1 millimeter, inclusive.
- 7. (Currently Amended) The system as recited in claim 1 wherein the source of energy includes an array of transmitters to generate a plurality of the signals to propagate along a plurality of axes and the detector includes an array of receivers, each of which is positioned to sense one of the plurality of signals propagating along one of the plurality of axes and the filtering system includes an array of filtering systems, said first and second holographic optical elements, each of said first and second holographic elements of said array being each of which is disposed in one of the plurality of axes, with a subset of the filtering systems first and second holographic optical elements of the array having a surface with the polarizing film being recorded thereon and the holographic transform disposed in a volume thereof.
- 8. (Currently Amended) The system as recited in claim 1 wherein the source of energy includes an array of transmitters to generate energy to propagate along a plurality of axes and the detector includes an array of receivers, each of which is positioned to sense energy propagating along one of the plurality of axes and the filtering system includes a plurality of filtering systems, each of which has [[a]] an additional first and second holographic optical element having a holographic transform function recorded within a volume thereof, with the

plurality of filtering systems being arranged in first and second arrays, the first and second holographic optical elements and the additional first and second holographic optical elements and the additional first and second holographic elements defining a first array of said first holographic optical elements and a second array of said second holographic optical elements, with said first array being disposed between the array of transmitters and the array of receivers and the second array being disposed between the first array and the receivers.

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- 9. (Currently Amended) The system as recited in claim 8 wherein the holographic transform function associated with a subgroup of the filtering systems of the first array, defining a transfer function, differs from the holographic transform function associated with the remaining filtering systems of the first array of filtering systems, and the holographic transform function associated with a subset of the filtering systems of the second array matches the transfer function.
- 10. (Currently Amended) The system as recited in claim 1 wherein one of the first and second holographic optical elements the filtering system includes an optical element has opposed sides with a spherical surface being positioned on one of the opposed sides and a planar surface being disposed on the remaining side of the opposed sides with the holographic transform function being recorded within a volume of the lens thereof extending between the spherical and the planar surfaces.
- 11. (Currently Amended) The system as recited in claim 1 wherein one of the first and second holographic optical elements has the filtering system is an optical element having opposed sides with a cylindrical surface being positioned on one of the opposed sides and a planar surface being disposed on the remaining side of the opposed sides, with the holographic transform function being recorded within a volume of the lens thereof extending between the cylindrical and the planar surfaces.

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12. (Currently Amended) The system as recited in claim 1 wherein one of the first and second holographic optical elements has the filtering system includes an optical element having opposed sides with a spherical surface being positioned on one of the opposed sides and a rotary symmetric arrangement of grooves defining a Fresnel lens being disposed on the remaining side of the opposed sides with the holographic transform function being recorded within a volume of the lens thereof extending between the spherical surface and the Fresnel lens.

- 13. (Currently Amended) The system as recited in claim 1 wherein the source of energy includes an array of optical transmitters to generate optical energy to propagate along a plurality of axes and the detector includes an array of optical receivers, each of which is positioned to sense optical energy propagating along one of the plurality of optical axes and the filtering system includes an array of lenses, said first and second holographic optical elements each of which is disposed in one of the plurality of axes and includes [[the]] an arcuate surface with the holographic transform being recorded within a volume of the array of lenses thereof.
- 14. (Currently Amended) The system as recited in claim [[1]] 8 wherein the source of optical energy includes an array of optical transmitters to generate optical energy to propagate along a plurality of axes and the detector includes an array of optical receivers, each of which is positioned to sense optical energy propagating along one of the plurality of optical axes and the filtering system includes a plurality of lenses having the arcuate surface with holographic transform function recorded within a volume thereof, with the plurality of lenses being arranged in first and second arrays, the first array being disposed between the array of optical transmitters and the array of optical receivers and the second array being disposed between the first array and the optical receivers the first holographic optical elements of the first array and

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the second holographic optical elements of the second array are each lenses having an arcuate surface.

15. (Currently Amended) A communication system comprising: a source of energy to propagate a signal along a communication path; a detector positioned in the communication path; and a filtering system disposed between the source and the detector, the filtering system having first and second filtering apparatus appartuses, each of which has a transform function associated therewith, to encode the signal, defining an encoded signal, and decode the encoded signal to retrieve the signal for detection by the detector, with the transform function associated with said first filtering apparatus matching the transform function associate with said second filtering apparatus.

16. (Currently Amended) The system as recited in claim 15 wherein the source of optical energy includes an array of optical transmitters to generate optical energy to propagate along a plurality of axes and the detector includes an array of optical receivers, each of which is positioned to sense optical energy propagating along one of the plurality of optical axes and the filtering system includes an array filtering systems of lenses, each of which includes the first and second filtering apparatuses, disposed in one of the plurality of axes, with each of the first and second filtering apparatus defining a lens lenses of the array having an arcuate surface with the transform function being recorded within a volume thereof.

17. (Currently Amended) The system as recited in claim 16 wherein the source of optical energy includes an array of optical transmitters to generate optical energy to propagate along a plurality of axes and the detector includes an array of optical receivers, each of which is positioned to sense optical energy propagating along one of the plurality of optical axes and the optical system including a plurality of lenses having the arcuate surface with holographic transform function being disposed within a volume thereof, with the plurality array of lenses

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being <u>are</u> arranged in first and second arrays, <u>with</u> the first array being disposed between the array of optical transmitters and the array of optical receivers and the second array being disposed between the first array and the <u>array of</u> optical receivers.

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18. (Original) A communication system comprising: an array of optical transmitters to generate optical energy to propagate along a plurality of axes; an array of optical receivers, each of which is positioned to sense optical energy propagating along one of the plurality of optical axes; a first array of refractory lenses, each of which is disposed in one of the plurality of axes and having a transform function recorded throughout a volume, with the transform function associated with a subgroup of the lenses of the first array differing from the transform function associated with the remaining lenses of the first array of lenses and defining an encoding function to encode the signal, forming an encoded signal; and a second array of refractory lenses, each of which is disposed between the first array of lenses and the array of optical receivers to collect the encoded signal, with a subset of the lenses of the second array having a second transform function recorded in recorded in a second volume thereof, to retrieve the signal by decode the encoded signal and directing the signal onto one of the optical receivers.

- 19. (Original) The system as recited in claim 18 wherein the lenses of the first and second arrays have a spherical surface and an additional surface disposed opposite to the spherical surface, with a Fresnel lens being disposed on the additional surface.
- 20. (Original) The system as recited in claim 18 wherein the lenses of the first and second arrays have a cylindrical surface and an additional surface disposed opposite to the cylindrical surface, with a Fresnel lens being disposed on the additional surface.

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Conclusion

2. Any inquiry concerning this communication from the examiner should be directed to N. Curs whose telephone number is (571) 272-3028. The examiner can normally be reached on M-F (from 9 AM to 5 PM).

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Jason Chan, can be reached at (571) 272-3022. The fax phone number for the organization where this application or proceeding is assigned is (571) 273-8300. Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is (800) 786-9199.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pairdirect.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

AGUSTIN BELLO PRIMARY EXAMINER